
Understanding the Insurance Ordinance and IA Guidelines (English)

Date: 31 October 2025 (Friday)**Time:** 9:30am – 12:30pm**Mode:** Zoom

This “ethics or regulations” CPD is designed to equip insurance brokers with a clear understanding of the key legal and regulatory requirements under the Insurance Ordinance (Cap. 41) and the Insurance Authority (IA) Guidelines. Participants will explore critical provisions of the Ordinance relevant to insurance brokers, including potential criminal liabilities for non-compliance. The session also provides a practical overview of important IA Guidelines, interspersed with Q&A sessions to ensure brokers leave with clarity and confidence to uphold professional and legal standards in their practice.

Course Outline

- Insurance Ordinance
 - Overview
 - Highlights of provisions relevant to insurance brokers
 - Consequences of breach – criminal liability
- IA Guidelines
 - Overview
 - GL8: Guideline on the Use of Internet for Insurance Activities
 - GL23: Guideline on "Fit and Proper" Criteria for Licensed Insurance Intermediaries
 - GL24: Guideline on Continuing Professional Development for Licensed Insurance Intermediaries
 - GL31: Guideline on Medical Insurance Business
- Q&A

Speaker

Ms. Yvonne Lam, LLB (Hons)

Ms. Yvonne Lam is a practising solicitor admitted in Hong Kong and has joined CIB since 2014. She previously oversaw the investigation and prosecution of CIB’s disciplinary process. With the completion of its self-regulatory functions of CIB in 2019, Yvonne has focused on compliance and professional development. She has contributed to the publication of the Compliance Manual and CIB Bulletins. She also manages CIB Helpdesk to answer enquiries on compliance matters. Yvonne has delivered numerous CPD seminars on the Code of Conduct, financial requirements and Insurance Authority’s guidelines. Her specialty is on ethics.