
Ethics and Enforcement Updates for Licensed Insurance Brokers (English)

Date: 26 July 2024 (Friday)

Time: 10:30am – 11:30am

Course Outline

- Introduction to 8 General Principles of the Code of Conduct
- Consequences of breach and penalties
- Ethics – build a high integrity culture
- IA disciplinary decisions – case studies
- Q&A session

Speaker

Ms. Yvonne Lam, LLB (Hons)

Ms. Yvonne Lam is a practising solicitor admitted in Hong Kong and has joined CIB since 2014. She previously oversaw the investigation and prosecution of CIB's disciplinary process. With the completion of its self-regulatory functions of CIB in 2019, Yvonne has focused on compliance and professional development. She has contributed to the publication of the Compliance Manual and CIB Bulletins. She also manages CIB Helpdesk to answer enquiries on compliance matters. Yvonne has delivered numerous CPD seminars on the Code of Conduct, financial requirements and Insurance Authority's guidelines. Her specialty is on ethics.